

CDC Leadership Risk Register Summary 26/05/2020

Leadership Risk	Score	DoT	Latest Update
L01 Financial Resilience - Failure to react to external financial impacts, new policy and increased service demand. Poor investment and asset management decisions.	16 High	↑	Risk reviewed 19/05 - Residual impact score increased from 3 to 4, comments updated.
L02 Statutory functions - Failure to meet statutory obligations and policy and legislative changes are not anticipated or planned for.	9 Low	↔	Risk Reviewed 19/05 – No changes.
L04 CDC Local Plan - Failure to ensure sound, up to date local plan remains in place for Cherwell resulting in poor planning decisions such as development in inappropriate locations, inability to demonstrate an adequate supply of land for housing and planning by appeal	12 Med	↔	Risk Reviewed 19/05 – No changes.
L05 Business Continuity - Failure to ensure that critical services can be maintained in the event of a short or long term incident affecting the Councils' operations	9 Low	↔	Risk Reviewed 19/05 – Comments updated.
L07 Emergency Planning - Failure to ensure that the local authority has plans in place to respond appropriately to a civil emergency fulfilling its duty as a category one responder	12 Med	↔	Risk Reviewed 19/05 – No changes.
L08 Health & Safety - Failure to comply with health and safety legislation, corporate H&S policies and corporate H&S landlord responsibilities	12 Med	↔	Risk Reviewed 19/05 – Mitigating actions and comments updated,
L09 Cyber Security - If there is insufficient security with regards to the data held and IT systems used by the councils and insufficient protection against malicious attacks on council's systems then there is a risk of: a data breach, a loss of service, cyber- ransom.	15 Med	↔	Risk Reviewed 19/05 – Mitigating actions updated.
L10 Safeguarding the Vulnerable - Failure to follow our policies and procedures in relation to safeguarding vulnerable adults and children or raising concerns about their welfare	12 Med	↔	Risk Reviewed 16/05 – No changes.
L11 Sustainability of Council owned companies and delivery of planned financial and other objectives - failure of council owned companies to achieve their intended outcomes or fail to meet financial objectives	12 Med	↔	Risk Reviewed 19/05 – Mitigating actions and comments updated.
L12 Financial sustainability of third-party suppliers including contractors and other partners - the failure of a key partner of supplier impacting on the business of the council	12 Med	↔	Risk Reviewed 19/05 – No changes.
L14 Corporate Governance - Failure of corporate governance leads to negative impact on service delivery or the implementation of major projects providing value to customers.	9 Low	↔	Risk Reviewed 19/05 – Controls updated.
L15 Oxfordshire Growth Deal - (contract with HMG) - The complex external environment for Growth Deal creates a number of risks that might impact on both the partnership and CDCs ability to deliver on its contractual obligations.	12 Med	↔	Risk Reviewed 18/05 – Risk description, potential impact, controls, mitigating actions and comments updated.
L16 Joint Working - That the challenges and risks associated with joint working outweigh the benefits and impacts on the provision of services to residents and communities.	6 Low	↔	Risk Reviewed 20/05 – No changes.
L17 Separation - That the separation of joint working arrangements with South Northamptonshire Council impacts on the provision of services to residents and communities.	9 low	↑	Risk Reviewed 20/05 – Residual slightly elevated and comments updated.
L18 Workforce Strategy - The lack of effective workforce strategies could impact on our ability to deliver Council priorities and services.	9 Low	↑	Risk Reviewed 20/05 – Residual slightly elevated, Controls and mitigating actions updated.
L19 Covid19 Community and Customers - Significant spread of the Covid-19 19 virus results in potential impacts in terms of customers and communities. Including community resilience, ability to access services, consequences of prolonged social distancing or isolation, economic impacts to business, including but not limited to the visitor economy.	16 High	↓	Risk Reviewed 20/05 – Residual risk slightly reduced (from 5 to 4) Controls and mitigating actions updated.
L20 Covid19 Business Continuity - Significant staff absence due to the Covid 19 virus results in potential impacts on frontline service delivery and the ability to run the councils' business on a day to day basis.	20 High	↔	Risk Reviewed 20/05 – No change.
L21 Post Covid-19 recovery - challenges associated with adverse impact on customers, our workforce and the budget	9 Low	New	Risk added 20/05/20